

- Please send the completed form and documentation to **086 677 0007 (fax)** or transact@coronation.co.za
- Should you have any queries kindly call us on **0800 22 11 77** or email clientservice@coronation.co.za

I/We hereby apply for appointment as a financial advisory/adviser to promote and market the Selected Products of Coronation. Expression used herein are defined in the Terms and Conditions for Appointment as Financial Advisory/Adviser (Annexure A).

A: CORPORATE INFORMATION

Registered name of business: _____

Trading name: _____

Registration number: _____

FSP license number: _____ (Attach a copy of license and annexure detailing the conditions and restrictions)

Income tax number: _____ VAT number: _____

Office postal address: _____
 _____ Code: _____

Office residential address: _____
 _____ Code: _____

Telephone number: (_____) _____ Fax number: (_____) _____

Cellphone number: (_____) _____

Email address: _____

Business status: Sole proprietor/Natural person Pty (Ltd) Close corporation Partnership Public company

Other specify: _____

B: AUTHORISED SIGNATORIES

To be completed by managers, close corporation members, partners and persons authorised to transact on behalf of the entity. e.g. Persons with power of attorney or mandate acting on behalf of the entity.

Signatory 1

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Postal address: _____
 _____ Code: _____

Residential address: _____
 _____ Code: _____

Home telephone number: (_____) _____ Work telephone number: (_____) _____

Cellphone number: (_____) _____ Fax number: (_____) _____

Email address: _____



Capacity: _____

Signature of authorised signatory:

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

Signatory 2

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Postal address: _____

_____ Code: _____

Residential address: _____

_____ Code: _____

Home telephone number: (_____) _____ Work telephone number: (_____) _____

Cellphone number: (_____) _____ Fax number: (_____) _____

Email address: _____

Signature of authorised signatory:

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

C: LIST ADVISERS



Each adviser please attach a copy of ID document. Refer to section D.

Adviser 1

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 2

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

**Adviser 3**

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 4

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 5

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

D: REQUIRED DOCUMENTATIONPlease send the following documents to transact@coronation.co.za or 086 677 0007 (fax):**Required for companies, close corporations, partnerships and other legal entities:**

- Proof of VAT number (e.g. any SARS issued document bearing name and VAT number)
- Proof of registered address (e.g. registration documents)
- Proof of business address (e.g. bank statement, utility bill or telephone account less than three months old)
- Proof of trading name (e.g. copy of a letterhead)
- Proof of banking details (e.g. bank statement or cancelled cheque less than three months old)

Required for companies:

- Copy of Registration Certificate (CoR14.3)
- Copy of Certificate of Incorporation (CM1) or Copy of Notice of Registered Office and Postal Address (CM22)

Required for close corporations:

- Founding Statement and Certificate of Incorporation (CK1) bearing Registrar's stamp and a company secretary's signature or such other official for foreign companies
- Amended Founding Statement (CK2)

Required for other legal entities:

- Constitution or founding document



Required for every manager, close corporation member, partner, every holder of 25% or more of the voting rights within the entity and person authorised to transact on behalf of the entity [please see Section 2 of Annexure A]:

- Person's full names
- Residential address and contact particulars, including e-mail address
- Date of birth
- Identity number or passport number for non-SA residents
- Copy of ID document (SA residents) / passport (foreign nationals)

If a natural person:

- Person's full names
- Residential address and contact particulars, including e-mail address
- Date of birth
- Identity number or passport number for non-SA residents
- Copy of ID document (SA residents) / passport (foreign nationals)

If a company / foreign company / close corporation / partnership / trust:

- Registered name and registration number (if applicable)
- Trading name and proof of trading name (e.g. copy of a letterhead)
- Registered address and proof of registered address (e.g. registration documents)
- Business address and proof of business address (e.g. bank statement, utility bill or telephone account less than three months old)
- Contact name and contact particulars
- Legal form

Required for SA companies:

- Copy of Certificate of Incorporation (CM1) and Copy of Notice of Registered Office and Postal Address (CM22)/Copy of Registration Certificate (CoR14.3)

Required for foreign companies:

- Copy of official document issued by an authority for recording the incorporation of companies

Required for close corporations:

- Founding Statement and Certificate of Incorporation (CK1) and Amended Founding Statement (CK2)

Required for partnerships:

- Copy of partnership agreement

Required for other legal entities:

- Copy of constitution or founding document

Required for trusts:

- Copy of trust deed and authority given by the Master of the High Court



E: PRODUCT SELECTION


Please indicate which products you are applying for:

- Local Unit Trust Funds and Tax-Free Investments
- International Global Opportunities Funds
- Life and Retirement Products

F: PAYMENT OF FEES

You may choose to have your fees earned on the Local Unit Trust Funds invested into one of our funds. If this is not selected, the fees will be paid to the bank account detailed in section G.

If you wish to re-invest your fees, please provide your investor number _____ or complete an investor application form to have one created for you. Instructions for re-investment of fees payable by Coronation Management Company (RF) (Pty) Ltd ("Coronation") in respect of the Selected Products as referred to in Item A (A) 1 of Annexure A of the attached Terms and Conditions.

 Please note that only one fund may be selected:

FUND NAME

All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to P-Class.

Payments of fees for all other products will be paid directly into the bank account specified in section G or H as applicable.

G: LOCAL BANK DETAILS

These banking details will be used for the local unit trust funds, tax-free investments, life and retirement products per the selected products listed in items A (A) 1,2 and 3 of Annexure A detailed on the attached Terms and conditions.

Bank: _____ Account number: _____

Branch name: _____ Branch code: _____


Type of account: Current/Cheque Savings

Account holder name: _____

Signature of investor or authorised representative*:

* Please forward proof of authorisation

SIGN WITHIN THE BOX

 A cancelled cheque or recent bank statement must accompany this application.
Any changes to the bank details must be forwarded in writing to Coronation, together with proof.
Payments will not be made to third party accounts, credit cards, money market accounts or bond accounts.



H: OFFSHORE BANK DETAILS

These banking details will be used for the Global Opportunities Funds per the selected products listed in items A (A) 4 of Annexure A detailed on the attached Terms and conditions.

Bank name: _____

Bank address: _____

Branch/sort code: _____ Swift code: _____

Account holder name: _____

Account number: _____ Account currency: _____

Correspondent bank account details

Bank name: _____

Bank address: _____

Branch name: _____ Branch/Sort code: _____

Swift code: _____ IBAN: _____

Account holder name: _____

Account number: _____ Account currency: _____

Signature of authorised person*:

* Please forward proof of authorisation

SIGN WITHIN THE BOX

Name of authorised person: _____

Capacity of authorised person: _____



A cancelled cheque or recent bank statement must accompany this application.

Any changes to the bank details must be forwarded in writing to Coronation, together with proof.

Payments will not be made to third party accounts, credit cards, money market accounts or bond accounts.



H: DECLARATION

I/We

- ▶ hereby consent, where this is applicable to me/us as registered VAT vendor(s), to the use by Coronation of self-invoicing, and confirm that I/we will not issue tax invoices, debit notes or credit notes in respect of the fees payable to me/us by Coronation.
- ▶ undertake to advise Coronation in writing of changes in VAT vendor status.
- ▶ warrant the above information to be correct. Coronation shall not be liable for any loss or damage suffered on account of incorrect information provided by me/us or as a result of a change in my/our information or my/our misrepresentation or my/our involvement in any fraudulent act.
- ▶ undertake to advise Coronation in writing should any of the details completed herein change subsequent to signature hereof by me/us.
- ▶ further acknowledge and accept that this Application form, together with the Terms and Conditions (Annexure A), form the basis on which Coronation appoints me/us, and I/we agree to be bound by the said Terms and Conditions.
- ▶ accept that Coronation may authorise my/our identity via a credit bureau.
- ▶ accept that Coronation reserves the right to not appoint me/us as financial adviser for whatever reason.
- ▶ acknowledge and accept that the Agreement will only be concluded when when an authorised representative of Coronation has signed this Financial Adviser Form and I/we have received confirmation in writing from Coronation that the Agreement has been concluded.

Signed at: _____ on this _____ day of _____ year _____

1st Signatory: _____

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

2nd Signatory: _____

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

I: NOTES/ADDITIONAL INSTRUCTIONS

ACCEPTANCE BY CORONATION - FOR OFFICE USE ONLY

Date: _____ Place: _____

Name: _____ Name: _____

Capacity: _____ Capacity: _____

Signed: _____ Signed: _____