

- Please send the completed form and documentation to **086 677 0007 (fax)** or transact@coronation.co.za
- Should you have any queries kindly call us on **0800 22 11 77** or email clientservice@coronation.co.za

I/We hereby apply for sub code as a financial advisory/adviser to promote and market the Selected Products of Coronation. Expression used herein are defined in the Terms and Conditions for Appointment as Financial Advisory/Adviser (Annexure A).

A: PRODUCT SELECTION

Please indicate which products you are applying for:

- | | |
|--|------------------------------|
| <input type="checkbox"/> Local Unit Trust Funds and Tax-Free Investments | Current advisory code: _____ |
| <input type="checkbox"/> International Global Opportunities Funds | Current advisory code: _____ |
| <input type="checkbox"/> Life and Retirement Products | Current advisory code: _____ |

B: CORPORATE INFORMATION

Registered name of business: _____
 Trading name: _____
 FSP license number: _____ (Attach a copy of license and annexure detailing the conditions and restrictions)
 Income tax number: _____
 Business status: Sole proprietor/Natural person Pty (Ltd) Close corporation Partnership
 Public company Other specify: _____

C: LIST OF ADVISERS



Each adviser please attach a copy of ID document. Refer to section D.

Adviser 1

Title: _____ Surname: _____
 First name(s): _____
 ID or Passport number (if foreign national): _____
 Cellphone number: (_____) _____ Work telephone number: (_____) _____
 Email address: _____

Adviser 2

Title: _____ Surname: _____
 First name(s): _____
 ID or Passport number (if foreign national): _____
 Cellphone number: (_____) _____ Work telephone number: (_____) _____
 Email address: _____



Adviser 3

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 4

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 5

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

D: REQUIRED DOCUMENTATION

Please send the following documents to transact@coronation.co.za or 086 677 0007 (fax):


- Person's full names
- Residential address and contact particulars, including e-mail address
- Identity number or passport number for non-SA residents



E: PAYMENT OF FEES

You may choose to have your fees earned on the Local Unit Trust Funds invested into one of our funds. If this is not selected, the fees will be paid to the bank account detailed in section G.

If you wish to re-invest your fees, please provide your investor number _____ or complete an investor application form to have one created for you. Instructions for re-investment of fees payable by Coronation Management Company (RF) (Pty) Ltd ("Coronation") in respect of the Selected Products as referred to in Item A (A) 1 of Annexure A of the attached Terms and Conditions.

 Please note that only one fund may be selected:

FUND NAME

All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to P-Class.

Payments of fees for all other products will be paid directly into the bank account specified in section F or G as applicable.

F: LOCAL BANK DETAILS

These banking details will be used for the local unit trust funds, tax-free investments, life and retirement products per the selected products listed in items A (A) 1,2 and 3 of Annexure A detailed on the attached Terms and conditions.

Bank: _____ Account number: _____

Branch name: _____ Branch code: _____


Type of account: Current/Cheque Savings

Account holder name: _____

Signature of investor or authorised representative*:

* Please forward proof of authorisation

SIGN WITHIN THE BOX

 A cancelled cheque or recent bank statement must accompany this application.
Any changes to the bank details must be forwarded in writing to Coronation, together with proof.
Payments will not be made to third party accounts, credit cards, money market accounts or bond accounts.



G: OFFSHORE BANK DETAILS

These banking details will be used for the Global Opportunities Funds per the selected products listed in items A (A) 4 of Annexure A detailed on the attached Terms and conditions.

Bank name: _____

Bank address: _____

Branch/sort code: _____ Swift code: _____

Account holder name: _____

Account number: _____ Account currency: _____

Correspondent bank account details

Bank name: _____

Bank address: _____

Branch name: _____ Branch/Sort code: _____

Swift code: _____ IBAN: _____

Account holder name: _____

Account number: _____ Account currency: _____

Signature of authorised person*:

* Please forward proof of authorisation

SIGN WITHIN THE BOX

Name of authorised person: _____

Capacity of authorised person: _____



A cancelled cheque or recent bank statement must accompany this application.

Any changes to the bank details must be forwarded in writing to Coronation, together with proof.

Payments will not be made to third party accounts, credit cards, money market accounts or bond accounts.



H: DECLARATION

I/We

- ▶ hereby consent, where this is applicable to me/us as registered VAT vendor(s), to the use by Coronation of self-invoicing, and confirm that I/we will not issue tax invoices, debit notes or credit notes in respect of the fees payable to me/us by Coronation.
- ▶ undertake to advise Coronation in writing of changes in VAT vendor status.
- ▶ warrant the above information to be correct. Coronation shall not be liable for any loss or damage suffered on account of incorrect information provided by me/us or as a result of a change in my/our information or my/our misrepresentation or my/our involvement in any fraudulent act.
- ▶ undertake to advise Coronation in writing should any of the details completed herein change subsequent to signature hereof by me/us.
- ▶ further acknowledge and accept that this Application form, together with the Terms and Conditions (Annexure A), form the basis on which Coronation appoints me/us, and I/we agree to be bound by the said Terms and Conditions.
- ▶ accept that Coronation may authorise my/our identity via a credit bureau.
- ▶ accept that Coronation reserves the right to not appoint me/us as financial adviser for whatever reason.
- ▶ acknowledge and accept that the Agreement will only be concluded when when an authorised representative of Coronation has signed this Financial Adviser Form and I/we have received confirmation in writing from Coronation that the Agreement has been concluded.

Signed at: _____ on this _____ day of _____ year _____

1st Signatory: _____

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

2nd Signatory: _____

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

I: NOTES/ADDITIONAL INSTRUCTIONS

ACCEPTANCE BY CORONATION - FOR OFFICE USE ONLY

Date: _____ Place: _____

Name: _____ Name: _____

Capacity: _____ Capacity: _____

Signed: _____ Signed: _____