

- Please send the completed form and documentation to **086 677 0007 (fax)** or [transact@coronation.co.za](mailto:transact@coronation.co.za)
- Should you have any queries kindly call us on **0800 22 11 77** or email [clientservice@coronation.co.za](mailto:clientservice@coronation.co.za)

**A: PRODUCT SELECTION**

Please indicate which products this applies to:

- South African Unit trust Funds and Tax-Free Investments Investment number: \_\_\_\_\_
- Offshore Investments Funds Investment number: \_\_\_\_\_
- Life and Retirement Products Investment number: \_\_\_\_\_

**B: INVESTOR DETAILS**

Title: \_\_\_\_\_ Surname: \_\_\_\_\_  
First name(s): \_\_\_\_\_  
ID or Passport number (if foreign national): \_\_\_\_\_ (Please attach a copy of your ID or Passport)  
Date of birth: \_\_\_\_\_ Gender: Male  Female   
Postal address: \_\_\_\_\_ Code: \_\_\_\_\_  
Residential address: \_\_\_\_\_ Code: \_\_\_\_\_  
Home telephone number: ( \_\_\_\_ ) \_\_\_\_\_ Work telephone number: ( \_\_\_\_ ) \_\_\_\_\_  
Cellphone number: ( \_\_\_\_ ) \_\_\_\_\_ Fax number: ( \_\_\_\_ ) \_\_\_\_\_  
Email address: \_\_\_\_\_

**C: FINANCIAL ADVISER DETAIL AND DECLARATION**

- I would like to appoint the financial adviser listed in this section.
- I would like to remove the financial adviser listed in this section.

Adviser name: \_\_\_\_\_ Company: \_\_\_\_\_  
Adviser account number: \_\_\_\_\_ Company reg. number: \_\_\_\_\_  
FSP license number: \_\_\_\_\_

An “Accountable Institution” includes, but is not limited, to the following institutions: a Bank, a Long-Term Insurer, a Manager registered in terms of the Collective Investment Schemes Control Act and a person who carries on the business of a financial services provider requiring authorisation in terms of the Financial Advisory and Intermediary Services Act of 2002. If this section is completed by an “Accountable Institution” acting on behalf of the client, the proof of address requested in section 1 need not be submitted to Coronation. Coronation may however request it where it is deemed necessary. The SA income tax number (if applicable), copy of the investor’s ID and proof of bank account are still required.



I/We:

- ▶ declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act of 2002, and subordinate legislation thereto, to the investor.
- ▶ acknowledge and confirm that, in my/our capacity as the primary accountable institution with Coronation being the secondary accountable institution, I/we have established and verified the identity of the client in accordance with Section 21 of the Financial Intelligence Centre Act, 2001 ("the Act"), and will keep records of such identification and verification according to the provisions of Section 22 of the Act.
- ▶ warrant that I/we have explained all fees that relate to this investment to the investor and I/we understand and accept that the investor may withdraw his/her authority for payment to me/us in writing to Coronation.

Authorised adviser signature:

SIGN WITHIN THE BOX

Date: \_\_\_\_\_ [d] \_\_\_\_\_ [m] \_\_\_\_\_ [y]

#### D: FINANCIAL ADVISE FEES

- I do not require Coronation to pay fees on my behalf.
- I would like the current advice fee arrangement to remain the same.
- I have received financial advice from the financial adviser listed in section C.  
I instruct Coronation to deduct the following advice fees to pay the adviser on my behalf:

| NAME OF FUND | Initial fee percentage | Ongoing fee percentage |
|--------------|------------------------|------------------------|
|              | %                      | %                      |
|              | %                      | %                      |
|              | %                      | %                      |
|              | %                      | %                      |
|              | %                      | %                      |

Initial advice fee is negotiable to maximum 3% exclusive of VAT. It is applied to each contribution and deducted before investment is made). Ongoing advice fee is deducted monthly from the market value of the investment portfolio, charged by way of unit reduction and paid to the Financial Adviser monthly in arrears (Negotiable to maximum 1% exclusive of VAT. If initial advice fee of greater than 1.5% is selected, then the maximum annual advice fee is 0.5%). This annual advice fee is not part of the normal annual management fee charged by the relevant Fund/s. This authority may be withdrawn by written notice to the Fund.



## D: DECLARATION

I/We understand and agree to be bound by the provisions of this application form. If on the date of signature of this application form an updated application form exists and the fees are different on that form, the fees on the updated application form will apply.

I/We understand and/or confirm that:

- ▶ The information contained herein is correct, and that if this application form is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers.
- ▶ I/We am/are acting for my/our own account and that I/we have made my/our decisions to enter into the investment and as to whether the investment is appropriate for me/us independently, based upon my/our own judgement, and upon advice from such advisers as I/we may deem necessary.
- ▶ I/We declare that I am/we are not relying on any communication from Coronation, whether written, oral or implied as investment advice or as a recommendation to enter into the investment. I/We understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment.
- ▶ Coronation will only be able to process investments on receipts of funds into the appropriate bank account, proof of deposit, and all relevant documentation.
- ▶ Coronation has provided access to performance fee information and the Performance Fee Frequently Asked Questions document, which can be viewed on the website [www.coronation.com](http://www.coronation.com).
- ▶ Coronation will not be responsible for any failure, malfunction or delay of any networks, electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of applications and/or transactions. Coronation will not be liable to make good or compensate any investor or third party for any damages, losses, claims or expenses resulting there from.
- ▶ I/We understand the Coronation Fee Schedule which details the unit trust funds and fee information.
- ▶ I/We have read the appropriate comprehensive fact sheet information available on Coronation's website ([www.coronation.com/za/personal/complete-fund-range-fact-sheets](http://www.coronation.com/za/personal/complete-fund-range-fact-sheets)).
- ▶ I/We understand and agree to the General Information and Conditions document which may be amended from time to time. The latest version of this document can be requested from the Client Service Centre on 0800 22 11 77, or viewed on the website [www.coronation.com](http://www.coronation.com).

Signature of investor:

SIGN WITHIN THE BOX

Date: \_\_\_\_\_ [d] \_\_\_\_\_ [m] \_\_\_\_\_ [y]

## E: NOTES/ADDITIONAL INSTRUCTIONS