

# SWITCHING FORM

## Domestic Funds

(This form may only be used by existing investors)

- Initial any amendments made to the application form.
- Ensure that all information provided is accurate.
- No instruction will be processed unless all requirements have been met.
- The daily cut-off for receipt of instructions is 14h00 and 12h00 (noon) for Money Market.

- Completed forms are to be faxed to us on (021) 680 2500 or e-mailed to [transact@coronation.co.za](mailto:transact@coronation.co.za).
- Should you have any queries regarding this application, please contact the Coronation Client Service Centre on 0800 22 11 77 or e-mail [clientservice@coronation.co.za](mailto:clientservice@coronation.co.za).

### A INVESTOR DETAILS

Investor number: \_\_\_\_\_

Full name: \_\_\_\_\_

ID or Passport number (if foreign national): \_\_\_\_\_

Contact telephone number: ( \_\_\_\_\_ ) \_\_\_\_\_ Email Address: \_\_\_\_\_

### B SWITCHING INSTRUCTION

If you specify to switch a number of units from a fund, please use the percentage column for the fund to which you are switching.

	FROM			TO			Income Distribution Reinvested	Income Distribution Deposited to Bank Account
	Rands	Units	Percentage	Rands	Units	Percentage		
<b>DOMESTIC - INCOME FUND</b>								
Strategic Income Fund			%			%		
<b>DOMESTIC - INCOME AND GROWTH FUNDS</b>								
Balanced Defensive Fund			%			%		
Capital Plus Fund			%			%		
<b>DOMESTIC - LONG TERM GROWTH FUNDS</b>								
Balanced Plus Fund			%			%		
Top 20 Fund			%			%		
<b>INTERNATIONAL - INCOME FUND</b>								
Global Strategic USD Income [ZAR] Feeder Fund (2)			%			%		
<b>INTERNATIONAL - CAPITAL PRESERVATION FUND</b>								
Global Capital Plus [ZAR] Feeder Fund (2)			%			%		
<b>INTERNATIONAL - LONG TERM GROWTH FUNDS</b>								
Global Managed [ZAR] Feeder Fund (2)			%			%		
Global Opportunities Equity [ZAR] Feeder Fund (2)			%			%		
Global Equity Select [ZAR] Feeder Fund (2)			%			%		
Global Emerging Markets Flexible [ZAR] Fund (1)			%			%		

SPECIALIST FUNDS - LONG TERM GROWTH	FROM			TO				
	Rands	Units	Percentage	Rands	Units	Percentage		
SA Equity Fund			%			%		
Equity Fund			%			%		
Market Plus Fund			%			%		
Optimum Growth Fund			%			%		

SPECIALIST FUND - INCOME AND GROWTH								
Property Equity Fund			%			%		

SPECIALIST FUNDS - INCOME								
Money Market Fund			%			%		
Jibar Plus Fund			%			%		

SPECIALIST FUNDS - BUILDING BLOCKS								
Bond Fund			%			%		
Financial Fund			%			%		
Industrial Fund			%			%		
Resources Fund			%			%		
Smaller Companies Fund			%			%		

OTHER			%			%		
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All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to P-Class.

**(1) Exit Fee – Global Emerging Markets Flexible [ZAR] Fund**

Coronation reserves the right to charge an exit penalty of 2% should withdrawals be made from the Fund within a period of less than 6 months from the time of investment in the Fund. This will apply to both initial and subsequent investments. Any exit penalty levied under this provision will be applied for the benefit of the remaining investors in the Fund.

**(2) Additional Trading Provisions – Global Feeder Funds**

Coronation reserves the right to apply additional trading provisions on instructions for the global feeder funds. Refer to General Information and Conditions for more detail.

Signature of Unitholder/s: \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

**C CHANGE DEBIT ORDER INSTRUCTIONS (IF APPLICABLE)**

My debit order on this account is to: *(Please tick and complete option 1 or 2 and/or 3)*

- 1. remain unchanged for the fund from which I am switching (for partial switches)
- 2. be cancelled from Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_
- 3. be changed to the fund into which I am switching at R \_\_\_\_\_ per month commencing on \_\_\_\_ / \_\_\_\_ / \_\_\_\_
- 4. be switched into a new fund. Fund name: \_\_\_\_\_ % Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Debit Order Authorisation Signature/s: \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

I/ We warrant that the information contained herein is true and correct, and that I/we have power and authority to enter into and conclude this transaction.

**D CHANGE PHASING IN INSTRUCTIONS (IF APPLICABLE)**

Phasing in is only allowed from the Coronation Money Market Fund and is processed on the 28th of each month. Phasing in is not applicable for debit order contributions.

Should the existing phase in be cancelled Yes  No

If no, please indicate if,

- 1. phase in should now be paid to new fund
- 2. phase in should remain in the fund being switched out of

## E CHANGE REGULAR WITHDRAWAL INSTRUCTIONS (IF APPLICABLE)

(Please tick and complete option 1 or 2 and/or 3)

1. remain unchanged for the fund from which I am switching (only for partial switches)
2. be cancelled from \_\_\_\_ / \_\_\_\_ / \_\_\_\_ (Date)
3. be changed to the fund into which I am switching at R \_\_\_\_\_ per month commencing on \_\_\_\_ / \_\_\_\_ / \_\_\_\_

## F FINANCIAL ADVISER DETAIL AND DECLARATION

Contact name: \_\_\_\_\_ Company: \_\_\_\_\_

Adviser account number: \_\_\_\_\_ Registration number: \_\_\_\_\_

FSP License Number: \_\_\_\_\_ Authorised adviser signature: \_\_\_\_\_

An "Accountable Institution" includes, but is not limited, to the following list of institutions: a Bank, Long-Term Insurer, Management Company registered in terms of the Collective Investment Schemes Control Act, a person who carries on the business of rendering financial advice or financial advisory services including a Public Accountant who carries on such business, member of a stock exchange licensed under the Securities Services Act No.36 of 2004 and a person who has been approved or who falls within a category of persons approved in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002. If this section is completed by an "Accountable Institution" acting on behalf of the client, the supporting documentation on page 2 need not be submitted to Coronation\*. Coronation may however request it where it is deemed necessary.

I/We

- declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act, No.37 of 2002, and subordinate legislation thereto, to the investor.
- acknowledge and confirm that, in my/our capacity as the primary accountable institution with Coronation being the secondary accountable institution, I/we have established and verified the identity of the client in accordance with Section 21 of the Financial Intelligence Centre Act, 2001("the Act"), and will keep records of such identification and verification according to the provisions of Section 22 of the Act.
- warrant that I/we have explained all fees that relate to this investment to the investor and I/we understand and accept that the investor may withdraw his/her authority for payment to me/us in writing to the fund.

Signed at: \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_, year \_\_\_\_\_

Signature of financial adviser: \_\_\_\_\_

## G FINANCIAL ADVICE FEES

(Please select an option)

- I acknowledge that I did not receive financial advice from either the Fund or a financial adviser; **OR**
- I hereby confirm that the Financial Adviser whose details are completed in the "Financial Adviser Details and Declaration" section above, is my appointed Financial Adviser and agree to payment of fees as follows:

Initial advice fee: \_\_ . \_\_ % (Negotiable to maximum 3% exclusive of VAT. Applied to each contribution and deducted before investment is made).

Ongoing advice fee: \_\_ . \_\_ % per annum of the market value of the investment portfolio, charged by way of unit reduction and paid to the Financial Adviser monthly in arrears. (Negotiable to maximum 1% exclusive of VAT. If initial advice fee greater than 1.5% is selected, then the maximum annual advice fee is 0.5%). This annual advice fee is not part of the normal annual management fee charged by the relevant Fund/s.

This authority may be withdrawn by written notice to the Fund.

Signature of investor or authorised representative: \_\_\_\_\_

## H INVESTOR SIGNATURE

I hereby acknowledge that the same terms and conditions that are applicable to my original investment apply to this investment and that I have read the appropriate comprehensive fact sheet information available on Coronation's website ([www.coronation.com/za/personal/complete-fund-range-fact-sheets](http://www.coronation.com/za/personal/complete-fund-range-fact-sheets)).

Signature of investor/member or authorised representative: \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_