

- Please send the completed form and documentation to transact@coronation.co.za or 086 677 0007 (fax).
- Should you have any queries or if you would like an investment quote for comparison purposes, kindly email clientservice@coronation.co.za or call us on 0800 22 11 77.

A: IMPORTANT INFORMATION

We require the following documentation before we can process your application:

- Copy of your South African bar-coded ID, valid passport (if foreign national) or birth certificate (if minor).
- If you are transacting on behalf of your minor child, a copy of your ID (refer above) .
- If you are transacting on behalf of the Investor, a copy of your ID (refer above) and proof of your authority to act for the Investor (e.g. power of attorney).

Please also send us:

- Proof of deposit, if you are investing a single amount.

Not required if you have submitted these documents as part of a previous Coronation investment that is still active. If any of these particulars have changed, please send us the updated documentation. In certain circumstances we may contact you to request additional documentation.



B: INVESTOR DETAILS

I'm a new investor with Coronation. I'm an existing investor. My Coronation investment number: _____

Title: _____ Surname: _____ Gender: _____

First name: _____ Middle name(s): _____

ID number (passport number if foreign national): _____ Date of birth: ____ [d] / ____ [m] / ____ [y]

Country of issue: _____

Are you a politically exposed person or a prominent influential person? Yes No

¹ An individual who has been entrusted with a prominent public function, for example Head of State, minister, senior judge, senior politician, military official or senior executive of a state-owned entity. You are also considered a politically exposed person if you are a family member or close associate of any of the above.

Country of birth: _____ Are you an Asylum Seeker/Refugee? Yes No

Are you a South African resident? Yes No If no, please specify country of residence: _____

Residential address: _____

_____ Code: _____

Postal address is same as residential address

Postal address: _____

_____ Code: _____

Mobile number: (_____) _____ Home telephone number: (_____) _____

Work telephone number: (_____) _____ Email address: _____

All correspondence will be emailed where possible. Should you prefer to receive communication via post, please check this box:

Industry of work:

- Accommodation & food services
- Administrative & support services
- Agriculture
- Arts & entertainment
- Construction
- Education
- Engineering & scientific services
- Financial services²
- Gas & electricity
- Gems & jewellery
- Health services & social work
- Information & communication
- Manufacturing
- Mining
- Private household services
- Professional & technical services
- Public admin & defence services
- Real estate
- Transportation & storage
- Unemployed (minor, student, retired)
- Water supply & waste management
- Wholesale & retail trade
- Other (please specify): _____

Are you a US citizen or resident in the US for tax purposes? Yes No

Are you a registered taxpayer? Yes No If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)

² Banks, insurers, collective investment schemes, retirement funds, pension funds.

I am acting on behalf of the Investor. Please complete section G.



C: CHOOSE YOUR INVESTMENT



Before making a decision, please review the comprehensive fact sheets of the different funds on www.coronation.com. We also recommend that you speak to a financial adviser, who can assess your investment needs.

	NEED	Single Investment (Minimum R5 000)	Debit Order (Minimum R500 per month)	All income earned from my investment should be invested back into the fund	or	All income earned from my investment should be paid into my bank account
LOCAL FLAGSHIP FUNDS						
Strategic Income Fund	Income			<input type="checkbox"/>	or	<input type="checkbox"/>
Balanced Defensive Fund	Income & growth			<input type="checkbox"/>	or	<input type="checkbox"/>
Capital Plus Fund	Income & growth			<input type="checkbox"/>	or	<input type="checkbox"/>
Balanced Plus Fund	Growth multi-asset			<input type="checkbox"/>	or	<input type="checkbox"/>
Top 20 Fund	Growth equity			<input type="checkbox"/>	or	<input type="checkbox"/>
LOCAL SPECIALIST FUNDS						
Money Market Fund (Minimum single investment of R10 000)	Income		N/A	<input type="checkbox"/>	or	<input type="checkbox"/>
Jibar Plus Fund	Income			<input type="checkbox"/>	or	<input type="checkbox"/>
Equity Fund	Growth equity			<input type="checkbox"/>	or	<input type="checkbox"/>
SA Equity Fund	Growth equity			<input type="checkbox"/>	or	<input type="checkbox"/>
Market Plus Fund	Growth multi-asset			<input type="checkbox"/>	or	<input type="checkbox"/>
Bond Fund	Building block fund			<input type="checkbox"/>	or	<input type="checkbox"/>
Financial Fund	Building block fund			<input type="checkbox"/>	or	<input type="checkbox"/>
Industrial Fund	Building block fund			<input type="checkbox"/>	or	<input type="checkbox"/>
Property Equity Fund	Building block fund			<input type="checkbox"/>	or	<input type="checkbox"/>
Resources Fund	Building block fund			<input type="checkbox"/>	or	<input type="checkbox"/>
Smaller Companies Fund	Building block fund			<input type="checkbox"/>	or	<input type="checkbox"/>
OFFSHORE FLAGSHIP FUNDS (Rand-denominated feeder funds*)						
Global Strategic USD Income [ZAR] Feeder Fund	Cash deposit alternative			<input type="checkbox"/>	or	<input type="checkbox"/>
Global Capital Plus [ZAR] Feeder Fund	Capital preservation			<input type="checkbox"/>	or	<input type="checkbox"/>
Global Managed [ZAR] Feeder Fund	Growth multi-asset			<input type="checkbox"/>	or	<input type="checkbox"/>
Global Optimum Growth [ZAR] Feeder Fund	Growth multi-asset			<input type="checkbox"/>	or	<input type="checkbox"/>
Global Opportunities Equity [ZAR] Feeder Fund	Growth equity			<input type="checkbox"/>	or	<input type="checkbox"/>
Global Emerging Markets Flexible [ZAR] Fund**	Growth equity			<input type="checkbox"/>	or	<input type="checkbox"/>
Global Equity Select [ZAR] Feeder Fund	Growth equity			<input type="checkbox"/>	or	<input type="checkbox"/>
Total amount		R	R			

All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to the P-Class.

* If you wish to invest in offshore funds that are denominated in other currencies and domiciled abroad, please complete the application forms listed under International Funds on www.coronation.com. Coronation reserves the right to apply additional trading provisions on instructions for the global feeder funds. Refer to General Information and Conditions for more detail. These funds are made available subject to exchange control limits and may be temporarily closed from time to time.

** Coronation reserves the right to charge an exit penalty of 2% should withdrawals be made from the Global Emerging Markets Flexible [ZAR] Fund within a period of less than six months from the time of investment in the fund. This will apply to both initial and subsequent investments. Any exit penalty levied under this provision will be applied for the benefit of the remaining investors in the fund.



D: INVESTMENT DETAILS

- ▶ What is the source of funds for your investment? Please complete section **1** [compulsory]
- ▶ Would you like to invest a single amount? Please complete section **2**
- ▶ Would you like to invest via debit order? Please complete section **3**

1 SOURCE OF FUNDS (COMPULSORY)

What is the source of funds you expect to use in concluding transactions in the course of this business relationship with Coronation?

Savings Salary Sale of assets Inheritance Other please specify: _____

2 SINGLE AMOUNT

Please collect from my bank account:

Please collect an amount of R _____ from my bank account listed in Section F.



Subject to a 40-day clearance period. A maximum of R1 000 000 per debit. Funds are deducted from the Investor's bank account one business day after the receipt of a valid application form and supporting documentation.

Deposit/electronic transfer

I have deposited R _____ into the Coronation Management Company account (listed below) on

_____ [d] / _____ [m] / _____ [y] and sent the proof of payment to transact@coronation.co.za or **086 677 0007** (fax).



Subject to a 7-day clearance period.

BANK ACCOUNT FOR ALL FUNDS (EXCL. MONEY MARKET FUND)							
Account holder	Bank	Branch	Branch code	Account number	Account type	Bank address	Swift code
Coronation Management Company (RF) (Pty) Ltd	Nedbank	Cape Town	100909	1009 590 596	Cheque	Clock Tower Precinct, V&A Waterfront, Cape Town, 8001	NEDSZAJJ

MONEY MARKET FUND							
Account holder	Bank	Branch	Branch code	Account number	Account type	Bank address	Swift code
Coronation Management Company (RF) (Pty) Ltd	Nedbank	Cape Town	100909	1009 646 532	Cheque	Clock Tower Precinct, V&A Waterfront, Cape Town, 8001	NEDSZAJJ



Electronic transfers: Internet transfers may take up to two days to reflect in our bank account. Please use your initials and surname as reference.

PHASING IN

Investments can be made directly into the funds of your choice or be phased in over a period of time. Your investment is split into equal sums that can be invested over 3, 6, 9 or 12 months. The initial lump sum is invested in the Coronation Money Market Fund and monthly automated investments are made into the fund(s) of your choice.

Do you want to 'phase in' your investment? Yes No

If yes, please select phase-in period: 3 months 6 months 9 months 12 months



If all required documentation and proof of payment reach us before 14:00 (or 12:00, for the Coronation Money Market fund) on a business day, the investment will be executed using that day's closing price.

You will receive a confirmation of the transaction the following day. If you transact after the cut-off time, the transaction price and confirmation will follow a day later.

3 SET UP A DEBIT ORDER

I/We the undersigned, authorise Coronation Management Company (RF) (Pty) Ltd to draw against my/our bank account the debit order investment amounts in terms of this application on the 1st 7th 15th 28th day of each month for the investment at the ruling price on that day. (If the debit order days fall on a weekend or public holiday, collection will take place on the next business day.) The debit order should commence on _____ [d] / _____ [m] / _____ [y].

All such withdrawals from my/our account will be treated as though they have been signed by me/us personally, and I/we request the bank to debit my/our account with these drawings. I/We acknowledge that debit order investments are subject to a 40-day clearance period.

Please increase my debit order each year by: 5% 7.5% 10% 15% Other please specify: _____ %

Collect a debit order to the amount of R _____ from my/our bank account listed below:

Account holder name: _____

Bank: _____ Account number: _____

Branch code: _____ Type of account: Current/Cheque Savings

Signature of bank account holder:

SIGN WITHIN THE BOX

E: REGULAR WITHDRAWAL PAYMENTS

I want to receive regular withdrawal payments from my investment.

Please withdraw R _____ (Rand amount) from my investment:

Every month Once a quarter Twice a year Once a year

FUND NAME	Amount (Minimum R500 per fund)	or	% Per Fund
		or	%
		or	%
		or	%
		or	%
		or	%
Total amount to be withdrawn per period	R	or	

My first withdrawal payment should be deducted on _____ [d] / _____ [m] / _____ [y].

Withdrawals will be paid into your bank account up to two business days later. If the withdrawal date falls on a weekend or public holiday, the withdrawal will be processed on the next business day and paid into your bank account up to two business days later.



F: YOUR BANK ACCOUNT

Account holder name: _____

Bank: _____ Account number: _____

Branch code: _____ Type of account: Current/Cheque Savings

Signature of bank account holder:

SIGN WITHIN THE BOX



We will use this bank account for all payments. The bank account needs to be in the Investor's name. Payments cannot be made to credit cards and money market accounts. All payments from Coronation will be electronically transferred into your account.

G: ACTING ON BEHALF OF THE INVESTOR

For example, guardians and persons with Power of Attorney or mandate to act on behalf of disabled or insolvent persons.

I'm acting on behalf of the Investor Representative capacity (e.g. guardian, trustee, etc.): _____

Title: _____ Surname: _____ Gender: _____

First name: _____ Middle name(s): _____

ID number (passport number if foreign national): _____ Date of birth: ____ [d] / ____ [m] / ____ [y]

Country of issue: _____

Are you a politically exposed person or a prominent influential person*? Yes No

* An individual who has been entrusted with a prominent public function, for example Head of State, minister, senior judge, senior politician, military official or senior executive of a state-owned entity. You are also considered a politically exposed person if you are a family member or close associate of any of the above.

Country of birth: _____ Are you a US citizen or resident in the US for tax purposes? Yes No

Are you a South African resident? Yes No If no, please specify country of residence: _____

Residential address: _____

_____ Code: _____

Mobile number: (_____) _____ Email address: _____

Are you a registered taxpayer? Yes No If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)
_____	_____
_____	_____
_____	_____



H: FINANCIAL ADVICE

- I did not receive financial advice about this investment.
- I have received financial advice, but do not require Coronation to pay fees on my behalf.
- I have received financial advice from the financial adviser listed in this section. I instruct Coronation to deduct the following advice fees to pay the adviser on my behalf:

Initial advice fee: _____ % (Negotiable to a maximum 3%, exclusive of VAT). Applied to each deposit and deducted before the investment is made.

Annual advice fee: _____ % per annum of the market value of the investment portfolio, deducted and paid monthly in arrears. (Negotiable to a maximum 1%, exclusive of VAT. If an initial advice fee greater than 1.5% is selected, then the maximum annual advice fee is 0.5%).

This annual advice fee is not part of the normal annual management fee charged by the relevant fund(s). You may revise or terminate financial advice fees by written notice to us.

Signature of Investor or Authorised Representative:

SIGN WITHIN THE BOX

FINANCIAL ADVISER DETAIL AND INFORMATION

To be completed by adviser.

Contact name: _____ Company: _____

Adviser account number: _____ Registration number: _____

FSP licence number: _____ Authorised adviser signature: _____

I/We

- ▶ declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act of 2002, and subordinate legislation thereto, to the Investor.
- ▶ warrant that I/we have explained all fees that relate to this investment to the Investor and I/we understand and accept that the Investor may withdraw his/her authority for payment to me/us in writing to Coronation.

Signed at: _____ on this _____ day of _____, year _____

Signature of Investor or Authorised Representative:

SIGN WITHIN THE BOX



I: INVESTOR DECLARATION

Please tick one of the boxes below:

- I am a South African taxpayer and understand that I will be subject to a withholdings tax of 20% on local dividends. I also confirm my understanding that income earned from interest bearing instruments and REITs (Real Estate Investment Trusts) constitute income that needs to be filed in my annual tax return as Coronation is not withholding tax on interest or rental income on my behalf.
- I am NOT a South African taxpayer and may qualify for a reduced rate of withholding tax on the dividends that I earn. (Please complete the relevant exemption or reduced rate form available from our website www.coronation.com). I will be taxed 20% withholding tax on income earned from local REITs and 15% on income from certain local interest-bearing instruments.

I/We understand and agree to be bound by the provisions of this application form. If on the date of signature of this application form an updated application form exists and the fees are different on that form, the fees on the updated application form will apply.

I/We understand, confirm and agree that:

- ▶ The information contained herein is true and correct, and that if this application form is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers. In such event, all declarations made hereafter must be regarded as having been made by the Investor him/her/itself save that the representative, in acting in a representative capacity, also provides his/her/its consent to the processing and/or use of his/her/its personal information by Coronation in accordance with the General Information and Conditions.
- ▶ I am/We are acting for my/our own account and that I/we have made my/our decisions to enter into the investment and as to whether the investment is appropriate for me/us independently based upon my/our own judgement, and upon advice from such advisers as I/we may deem necessary. I/We declare that I am/we are not relying on any communication from Coronation, whether written, oral or implied as investment advice or as a recommendation to enter into the investment. I/We understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment.
- ▶ In terms of the Intergovernmental Agreement (“IGA”) entered into between the governments of South Africa and the United States to improve international tax compliance and to implement the United States Foreign Account Tax Compliance Act (“FATCA”), Coronation is required to collect relevant information from each client to ensure their identification and classification is correct according to the IGA, and to report on certain clients to the South African Revenue Services where necessary (“SARS”), which may in turn report on such clients to the United States tax and other regulatory authorities, where appropriate.
- ▶ I/We understand that other governments may from time to time establish regulations that are similar to FATCA and enter into agreements with South Africa that are similar to the IGA and that in such event, information from clients, where applicable, may similarly be reported to SARS and/or to the tax or other regulatory authorities of such governments.
- ▶ I/We will notify Coronation immediately if my/our tax residency or FATCA/CRS classification changes in the future, or if there are any changes in circumstances that may impact on my tax residency status, FATCA/CRS classification or any other foreign tax classification.
- ▶ I/We undertake to advise Coronation promptly of any changes which cause any of the information contained in this form to be incorrect.
- ▶ I/We consent to the processing of my/our personal information by Coronation in accordance with the General Information and Conditions.
- ▶ Coronation has provided access to performance fee information and the Performance Fee Frequently Asked Questions document, which can be viewed on the website www.coronation.com.
- ▶ If the investment is cancelled after funds were deposited into our bank account, but before all relevant documentation (as required in terms of the Financial Intelligence Centre Act No. 38 of 2001 (FICA)) was submitted, Coronation shall be entitled to receive any such outstanding documentation prior to processing a refund.
- ▶ Coronation will only be able to process investments on receipts of funds into the appropriate bank account, proof of deposit, and all relevant documentation and information (and to the extent necessary, verification thereof), including without limitation, receipt and/or verification of documentation and information that is required for Coronation to meet its obligations under FICA.
- ▶ Coronation will not be responsible for any failure, malfunction or delay of any networks, electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of applications and/or transactions. Coronation will not be liable to make good or compensate any investor or third party for any damages, losses, claims or expenses resulting there from.
- ▶ I/We understand the Coronation Fee Schedule, available under ‘Fees’ in the Forms section of Coronation’s website, which details the unit trust funds and fee information.



- ▶ I/We have read the appropriate comprehensive fact sheet information available on Coronation's website www.coronation.com/za/personal/complete-fund-range-fact-sheets.
- ▶ I/We understand and agree that my/our investment is subject to the further terms contained in the General Information and Conditions document which may be amended from time to time. I/We confirm that I/we have read the General Information and Conditions document and hereby agree to be bound by the terms thereof (as amended from time to time). The latest version of the General Information and Conditions document is available on request from the Client Service Centre on 0800 22 11 77, or can be viewed on the website www.coronation.com.

I/We indemnify Coronation against any loss or claims arising as a result of my/our (i) breaching the provisions of this application form and/or (ii) providing any false or inaccurate statements, acknowledgements, confirmations or information in this application form.

Name of Investor or Authorised Representative: _____

Signature:

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]

NEXT STEPS

- ▶ Please send the completed form and documentation to transact@coronation.co.za or 086 677 0007 (fax). A member of our client service team will contact you if more information is required.
- ▶ If we receive and verify all of the required documents before 14:00 (or 12:00, for the Coronation Money Market fund) on any given business day, the transaction will be executed using the same trading day's closing price. You will receive SMS confirmation of the transaction on the next business day.
- ▶ You can manage your investments on our secure online platform. Simply register at www.coronation.com once you have received confirmation of your transaction and your investment number. If you are already registered, please link this unit trust investment to your portfolio. You'll find this option in the Manage Details section.
- ▶ Statements will be sent to you every quarter and all transactions will be confirmed via SMS, email and/or postal letter.
- ▶ Should you have any queries, kindly email clientservice@coronation.co.za or call us on 0800 22 11 77.