

- Please send completed form and documentation to taxfree@coronation.co.za or 086 680 3187 (fax).
- Should you have any queries or if you would like an investment quote for comparison purposes, kindly email clientservice@coronation.co.za or call us on 0800 22 11 77.

A: IMPORTANT INFORMATION

- Only South African resident individual investors may apply.
- You can contribute R36 000 every tax year (from March 1st to end-February of the next year) without incurring any tax. Over your lifetime, you can contribute up to R500 000 tax-free.
- The SA Revenue Service will levy a penalty tax of 40% on any amount above these limits.
- You can withdraw money at any time, and won't pay any penalties or tax. In terms of your tax-free allowance, once you withdraw money, you cannot replace it with a new contribution. For example, if you contributed R36 000 this tax year, and then withdrew some of your savings - any further contribution this tax year will be taxed.
- If you are invested in other tax-free products, please note that your overall investment needs to remain within the tax-free limits. You are required to monitor the total amount invested to avoid tax penalties.

We require the following documentation before we can process your application:

- ☐ Copy of your South African bar-coded ID, both front and back of smart ID, valid passport (if foreign national) or birth certificate (if minor).
- ☐ If you are transacting on behalf of your minor child, a copy of your ID (refer above).
- ☐ If you are transacting on behalf of the Investor, a copy of your ID (refer above) and proof of your authority to act for the Investor (e.g. power of attorney).

Not required if you have submitted these documents as part of a previous Coronation investment that is still active. If any of these particulars have changed, please send us the updated documentation. In certain circumstances we may contact you to request additional documentation.

Please also send us:

- ☐ Proof of deposit, if you are investing a single amount.



B: INVESTOR DETAILS

☐ I'm a new investor with Coronation. ☐ I'm an existing investor. My Coronation investment number: _____

Title: _____ Surname: _____ Gender: _____

First name: _____ Middle name(s): _____

ID number (passport number if foreign national): _____ Date of birth: ____ [d] / ____ [m] / ____ [y]

Country of issue: _____

Are you a politically exposed person or a prominent influential person¹? Yes ☐ No ☐

¹ An individual who has been entrusted with a prominent public function, for example Head of State, minister, senior judge, senior politician, military official or senior executive of a state-owned entity. You are also considered a politically exposed person if you are a family member or close associate of any of the above.

Country of birth: _____ Are you an Asylum Seeker/Refugee? Yes ☐ No ☐

Are you a South African resident? Yes ☐ No ☐ If no, please specify country of residence: _____

Residential address: _____

_____ Code: _____

Postal address is same as residential address ☐

Postal address: _____

_____ Code: _____

Mobile number: (____) _____ Home telephone number: (____) _____

Work telephone number: (____) _____ Email address: _____

Would you like to receive your welcome pack by email ☐ or by post? ☐

All other correspondence will be emailed where possible. Should you prefer to receive communication via post, please check this box: ☐

Industry of work:

- | | | |
|------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------|
| <input type="checkbox"/> Accommodation & food services | <input type="checkbox"/> Administrative & support services | <input type="checkbox"/> Agriculture |
| <input type="checkbox"/> Arts & entertainment | <input type="checkbox"/> Construction | <input type="checkbox"/> Education |
| <input type="checkbox"/> Engineering & scientific services | <input type="checkbox"/> Financial services ² | <input type="checkbox"/> Gas & electricity |
| <input type="checkbox"/> Gems & jewellery | <input type="checkbox"/> Health services & social work | <input type="checkbox"/> Information & communication |
| <input type="checkbox"/> Manufacturing | <input type="checkbox"/> Mining | <input type="checkbox"/> Private household services |
| <input type="checkbox"/> Professional & technical services | <input type="checkbox"/> Public admin & defence services | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Transportation & storage | <input type="checkbox"/> Unemployed (minor, student, retired) | <input type="checkbox"/> Water supply & waste management |
| <input type="checkbox"/> Wholesale & retail trade | <input type="checkbox"/> Other (please specify) _____ | |

Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Are you a registered taxpayer? Yes ☐ No ☐ If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)

² Banks, insurers, collective investment schemes, retirement funds, pension funds

☐ I am acting on behalf of the Investor. Please complete section F.



C: CHOOSE YOUR INVESTMENT



Before making a decision, please review the comprehensive fact sheets of the different funds on www.coronation.com. We also recommend that you speak to a financial adviser, who can assess your investment needs.

All dividends and interest earned from your investment will automatically be reinvested; you therefore won't be able to earn a regular income from this investment.

	NEED	Single Investment (Minimum R5 000)	Debit Order (Minimum R250 per month; Maximum R3 000)
LOCAL FLAGSHIP FUNDS			
Strategic Income Fund	Income		
Balanced Defensive Fund	Income & growth		
Capital Plus Fund	Income & growth		
Balanced Plus Fund	Growth multi-asset		
Top 20 Fund	Growth equity		
LOCAL SPECIALIST FUNDS			
Money Market Fund (Minimum single investment of R10 000)	Income		N/A
Defensive Income Fund	Income		
SA Income Fund	Income		
Active Income Plus Fund	Income		
Equity Fund	Growth equity		
SA Equity Fund	Growth equity		
Market Plus Fund	Growth multi-asset		
Bond Fund	Building block fund		
Financial Fund	Building block fund		
Industrial Fund	Building block fund		
Property Equity Fund	Building block fund		
Resources Fund	Building block fund		
Smaller Companies Fund	Building block fund		
OFFSHORE FLAGSHIP FUNDS (Rand-denominated feeder funds*)			
Global Strategic USD Income [ZAR] Feeder Fund	Cash deposit alternative		
Global Capital Plus [ZAR] Feeder Fund	Capital preservation		
Global Managed [ZAR] Feeder Fund	Growth multi-asset		
Global Optimum Growth [ZAR] Feeder Fund	Growth multi-asset		
Global Opportunities Equity [ZAR] Feeder Fund	Growth equity		
Global Emerging Markets Flexible [ZAR] Fund	Growth equity		
Global Equity Select [ZAR] Feeder Fund	Growth equity		
Total amount		R	R

All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to the P-Class.



D: INVESTMENT DETAILS

- What is the source of funds for your investment? Please complete section **1** [compulsory]
- Would you like to invest a single amount? Please complete section **2**
- Would you like to invest via debit order? Please complete section **3**

1 SOURCE OF FUNDS (COMPULSORY)

What is the source of funds you expect to use in concluding transactions in the course of this business relationship with Coronation?

Savings ☐ Salary ☐ Sale of assets ☐ Inheritance ☐ Other ☐ please specify: _____

2 SINGLE AMOUNT

☐ Please collect from my bank account:

Please collect an amount of R _____ from my bank account listed in Section E.



Subject to a 40-day clearance period. A maximum of R36 000 per tax year. Funds are deducted from the Investor's bank account one business day after the receipt of a valid application form and supporting documentation.

☐ Deposit/electronic transfer

I have deposited R _____ into the Coronation Management Company account (listed below) on

_____ [d] / _____ [m] / _____ [y] and sent the proof of payment to taxfree@coronation.co.za or 086 680 3187 (fax).



Subject to a 7 day clearance period.

BANK ACCOUNT FOR ALL FUNDS (EXCL. MONEY MARKET FUND)

Account holder	Bank	Branch	Branch code	Account number	Account type	Bank address	Swift code
Coronation Management Company (RF) (Pty) Ltd	Nedbank	Cape Town	100909	1009 590 596	Cheque	Clock Tower Precinct, V&A Waterfront, Cape Town, 8001	NEDSZAJJ

MONEY MARKET FUND

Account holder	Bank	Branch	Branch code	Account number	Account type	Bank address	Swift code
Coronation Management Company (RF) (Pty) Ltd	Nedbank	Cape Town	100909	1009 646 532	Cheque	Clock Tower Precinct, V&A Waterfront, Cape Town, 8001	NEDSZAJJ



Electronic transfers: Internet transfers may take up to two days to reflect in our bank account. Please use your initials and surname as reference.

PHASING IN

Investments can be made directly into the funds of your choice or be phased in over a period of time. Your investment is split into equal sums that can be invested over 3, 6, 9 or 12 months. The initial lump sum is invested in the Coronation Money Market Fund and monthly automated investments are made into the fund(s) of your choice.

Do you want to 'phase in' your investment? Yes ☐ No ☐

If yes, please select phase-in period: 3 months ☐ 6 months ☐ 9 months ☐ 12 months ☐



If all required documentation and proof of payment reach us before 14:00 (or 12:00, for the Coronation Money Market fund) on a business day, the investment will be executed using that day's closing price.

You will receive a confirmation of the transaction the following day. If you transact after the cut-off time, the transaction price and confirmation will follow a day later.

3 SET UP A DEBIT ORDER

I/We the undersigned, authorise Coronation Management Company (RF) (Pty) Ltd to draw against my/our bank account the debit order investment amounts in terms of this application on the 1st 7th 15th 26th day of each month for the investment at the ruling price on that day. (If the debit order days fall on a weekend or public holiday, collection will take place on the next business day.) The debit order should commence on _____ [d] / _____ [m] / _____ [y].

All such withdrawals from my/our account will be treated as though they have been signed by me/us personally, and I/we request the bank to debit my/our account with these drawings. I/We acknowledge that debit order investments are subject to a 40-day clearance period.



A minimum debit order amount of R250 is required, with a maximum amount of R3 000 (in total, across all funds).

Collect a debit order to the amount of _____ from my/our bank account listed below:

Account holder name: _____

Bank: _____ Account number: _____

Branch code: _____ Type of account: Current/Cheque ☐ Savings ☐

Signature of bank account holder:

SIGN WITHIN THE BOX

E: YOUR BANK ACCOUNT

Account holder name: _____

Bank: _____ Account number: _____

Branch code: _____ Type of account: Current/Cheque ☐ Savings ☐

Signature of bank account holder:

SIGN WITHIN THE BOX



We will use this bank account for all payments. The bank account needs to be in the Investor's name.

If the investor is a minor, the bank account needs to be in the child's name.

Payments cannot be made to credit cards and money market accounts. All payments from Coronation will be electronically transferred into your account.



F: ACTING ON BEHALF OF THE INVESTOR

For example, guardians and persons with Power of Attorney or mandate to act on behalf of disabled or insolvent persons.

☐ I'm acting on behalf of the Investor Representative capacity (e.g. guardian, trustee, etc.): _____

Title: _____ Surname: _____ Gender: _____

First name: _____ Middle name(s): _____

ID number (passport number if foreign national): _____ Date of birth: ____ [d] / ____ [m] / ____ [y]

Country of issue: _____

Are you a politically exposed person or a prominent influential person*? Yes ☐ No ☐

* An individual who has been entrusted with a prominent public function, for example Head of State, minister, senior judge, senior politician, military official or senior executive of a state-owned entity. You are also considered a politically exposed person if you are a family member or close associate of any of the above.

Country of birth: _____ Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Are you a South African resident? Yes ☐ No ☐ If no, please specify country of residence: _____

Residential address: _____

_____ Code: _____

Mobile number: (_____) _____ Email address: _____

Are you a registered taxpayer? Yes ☐ No ☐ If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)
_____	_____
_____	_____
_____	_____



G: FINANCIAL ADVICE

- ☐ I did not receive financial advice about this investment.
- ☐ I have received financial advice, but do not require Coronation to pay fees on my behalf.
- ☐ I have received financial advice from the financial adviser listed in this section. I instruct Coronation to deduct the following advice fees to pay the adviser on my behalf:

Initial advice fee: _____ % (Negotiable to a maximum 3%, exclusive of VAT. Applied to each deposit and deducted before the investment is made).

Annual advice fee: _____ % per annum of the market value of the investment portfolio, deducted and paid monthly in arrears. (Negotiable to a maximum 1%, exclusive of VAT. If an initial advice fee greater than 1.5% is selected, then the maximum annual advice fee is 0.5%).

This annual advice fee is not part of the normal annual management fee charged by the relevant fund(s). You may revise or terminate financial advice fees by written notice to us.

Signature of Investor or Authorised Representative:

SIGN WITHIN THE BOX

FINANCIAL ADVISER DETAIL AND INFORMATION

To be completed by adviser.

Contact name: _____ Company: _____

Adviser account number: _____ Registration number: _____

FSP licence number: _____ Authorised adviser signature: _____

I/We

- declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act of 2002, and subordinate legislation thereto, to the Investor.
- warrant that I/we have explained all fees that relate to this investment to the Investor and I/we understand and accept that the Investor may withdraw his/her authority for payment to me/us in writing to Coronation.

Signed at: _____ on this _____ day of _____, year _____

Signature of Investor or Authorised Representative:

SIGN WITHIN THE BOX



H: INVESTOR DECLARATION

I understand and agree to be bound by the provisions of this application form. If on the date of signature of this application form an updated application form exists and the fees are different on that form, the fees on the updated application form will apply.

I/We understand, confirm and agree that:

- Any contribution to my tax-free investment(s) in excess of the annual and lifetime contribution limits are subject to taxation of 40% of the excess amount.
- I will monitor my annual and lifetime contributions to my tax-free investment(s) across different providers so as not to exceed the prescribed limits. I understand that Coronation is not liable for any taxation payable as a result of my use of this investment account.
- The information contained herein is true and correct, and that if this application form is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers. In such event, all declarations made hereafter must be regarded as having been made by the Investor him/her/itself save that the representative, in acting in a representative capacity, also provides his/her/its consent to the processing and/or use of his/her/its personal information by Coronation in accordance with the General Information and Conditions.
- I am acting for my own account and that I have made my decisions to enter into the investment and as to whether the investment is appropriate for me independently based upon my own judgement, and upon advice from such advisers as I may deem necessary. I declare that I am not relying on any communication from Coronation, whether written, oral or implied as investment advice or as a recommendation to enter into the investment. I understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment.
- I consent to the processing of my personal information by Coronation in accordance with the General Information and Conditions.
- Coronation will only be able to process investments on receipts of funds into the appropriate bank account, proof of deposit, and all relevant documentation.
- If the investment is cancelled after funds were deposited into our bank account, but before all relevant documentation (as required in terms of the Financial Intelligence Centre Act No. 38 of 2001) was submitted, Coronation shall be entitled to receive any such outstanding documentation prior to processing a refund.
- I will notify Coronation immediately if my tax residency or Foreign Account Tax Compliance Act ('FATCA') or equivalent classification changes in the future, or if there are any changes in circumstances that may impact on my tax residency status and/or FATCA classification.
- Coronation will not be responsible for any failure, malfunction or delay of any networks, electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of applications and/or transactions. Coronation will not be liable to make good or compensate any investor or third party for any damages, losses, claims or expenses resulting there from.
- I understand the Coronation Fee Schedule, available under 'Fees' in the Forms section of Coronation's website, which details the unit trust funds and fee information.
- I have read the appropriate comprehensive fact sheet information available on Coronation's website www.coronation.com/za/personal/complete-fund-range-fact-sheets.
- I/We understand and agree that my/our investment is subject to the further terms contained in the General Information and Conditions document which may be amended from time to time. I/We confirm that I/we have read the General Information and Conditions document and hereby agree to be bound by the terms thereof (as amended from time to time). The latest version of the General Information and Conditions document is available on request from the Client Service Centre on 0800 22 11 77, or can be viewed on the website www.coronation.com.

I indemnify Coronation against any loss or claims arising as a result of my (i) breaching the provisions of this application form and/or (ii) providing any false or inaccurate statements, acknowledgements, confirmations or information in this application form.

Name of Investor or Authorised Representative: _____

Signature:

SIGN WITHIN THE BOX

Date: _____ [d] / _____ [m] / _____ [y]



NEXT STEPS

- Please send the completed form and documentation to taxfree@coronation.co.za or 086 680 3187 (fax). A member of our client service team will contact you if more information is required.
- If we receive and verify all of the required documents before 14:00 (or 12:00, for the Coronation Money Market fund) on any given business day, the transaction will be executed using the same trading day's closing price. You will receive SMS confirmation of the transaction on the next business day.
- You can manage your investments on our secure online platform. Simply register at www.coronation.com once you have received confirmation of your transaction and your investment number. If you are already registered, please link your tax-free investment to your portfolio. You'll find this option under Linked Investments in the profile menu.
- Statements will be sent to you every quarter and all transactions will be confirmed via SMS, email and/or postal letter.
- Should you have any queries, kindly email clientservice@coronation.co.za or call us on 0800 22 11 77.